

# Bank of England

## Prudential Regulation Authority

### Firm Feedback on the Prudential Regulation Authority's (PRA's) approach to supervision

To what extent do you agree or disagree with the following statements?

1. The PRA has an appropriate understanding of the sector my firm operates in and its business models. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

2. My firm has a clear understanding of the regulatory objectives of the PRA. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

3. The PRA has clearly articulated what it sees as the key risks my firm poses to its objectives. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

4. My firm is clear about the specific actions the PRA expects us to take. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

5. Please include any further comments about the PRA's assessment and analysis of risks at your firm.

To what extent do you agree or disagree with the following statements?

6. My firm has an effective relationship with the PRA. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

7. My firm has adequate access to the right people at the PRA. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

8. Communication from PRA supervisors is clear, timely and appropriate for my firm. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

9. Please include any further comments about the relationship and communication between your firm and the PRA.

To what extent do you agree or disagree with the following statements?

10. The PRA explains clearly why it is requesting data and information from my firm. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

11. Deadlines for requests for data and information from the PRA are generally reasonable. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

12. General information channels - publications, speeches, Bank of England website, letters to industry and conferences - are effective at informing our firm of the PRA's expectations. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

13. Please include any further comments regarding data and information requests.

To what extent do you agree or disagree with each of the following statements regarding the PRA's coordination with other regulators?

14. The PRA and FCA are appropriately coordinated in their supervision of my firm taking account of their respective regulatory objectives. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

15. The PRA and overseas regulators are appropriately coordinated in their supervision of my firm taking account of their respective regulatory objectives. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable



16. Please include any further comments about the PRA's coordination with other regulators.

To what extent do you agree or disagree with each of the following statements about PRA policies?

17. The relevant prudential rules that apply to my firm are accessible and clear. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

18. The PRA is clear in its reasons for new and revised policy. \*

- Strongly agree
- Agree
- Neither agree nor disagree
- Disagree
- Strongly disagree
- Not applicable

19. Please provide any further comments about PRA rules, requirements, and policies.

### Operational Resilience

20. Has the PRA set out operational resilience policy with clear expectations? Were our communications on operational resilience clear and timely? Do you have any other comments on our approach and implementation? \*

### Anticipating post COVID-19 supervision

21. Are there aspects of our changes to supervision in response to COVID-19 that you would like us to keep in the future? Are there useful lessons we can learn from the situation? \*

### Further comments - Improvements

22. What 3 things could the PRA do to improve? \*

## Follow Up Meeting

23. If your firm is selected to receive a follow up meeting to discuss any of the points that you have raised in this questionnaire or to give you the opportunity to discuss any other matters relating to the effectiveness of the PRA's supervisory approach, please indicate your preference of interview type below. \*

- Face to face
- Telephone interview

## Contribution(s)

24. Please confirm who from your firm contributed to the survey response (e.g. CEO, Compliance Director, Regulatory Liaison). \*

## Details

Please provide the following requested information

25. Submit date \*

Please input date (dd/MM/yyyy)



26. Email address \*

27. Firm name \*